## **CITY OF BOULDER**

## New Liquor / Transfer / Change of Class – Application Packet

Instructions & Guide: Pages 2-13

**Application: Pages 14-49** 

Last Edited: 2023

#### **CITY OF BOULDER LIQUOR LICENSE APPLICATION WORKFLOW SUMMARY**

**STEP 1- LOCAL ZONING LAWS REVIEW APPROVAL & APPLICATION CLINICS** (please see attached page for detailed process)

**STEP 2- CITY OF BOULDER BUSINESS LICENSE**- Please visit the following link to apply for a business license: <u>https://boulderonlinetax.gentaxcpc.net/TAP/</u>

#### STEP 3- REQUEST SECURE METHOD TO UPLOAD APPLICATION- Visit https://tinyurl.com/

<u>boulderapplicationform</u> and fill out the form. You will then be contacted by staff to upload your legally complete application securly. Please supply the application in the order that is described in the application checklist.

**STEP 4- LIQUOR LICENSE APPLICATION INTAKE MEETING**- City Licensing will meet with the applicant(s) virtually to go through the legally complete application. Prior to the meeting, staff will generate the **City Application Fees** Invoice which can be paid via the <u>CSS Portal</u>. **State Application Fees** can be paid (if applicable) via their portal here: https://secure.colorado.gov/payment/liquor.

#### STEP 5- NEIGHBORHOOD BOUNDARY SETTING FOR DOOR TO DOOR PETITIONS- BLA will set

boundaries that are not less than ½ mile from the proposed location. City Licensing will prepare petition packet, provide them to applicant and interested parties and post packets to the city website.

**STEP 6- CBI and FBI RESULTS RECEIVED FOR 10% OWNERS AND ALL MANAGERS/OFFICERS**- at approximately this time in the process, background check results should be received, and additional explanatory information may be supplied by the applicant for results and prior enforcement history

**STEP 7- HEARING POSTER PICKED UP AND POSTED**- City Licensing will prepare a hearing poster which must be picked up by the applicant and which must be posted for at least 10 days prior to convening a public hearing

**STEP 8- APPLICANT SUPPLIES PETITION MATERIALS**- Applicant should use City Licensing supplied materials to complete door to door petitioning and provide those results to City Licensing not later than 10 days prior to hearing. City Licensing will provide the deadline date.

**STEP 9- PRELIMINARY FINDINGS SENT TO APPLICANT**- City Licensing will email an application summary to the applicant so that all parties can see what will be supplied in the hearing packet

**STEP 10- BLA HEARING FOR APPLICATION**- a public hearing will be scheduled and convened for your application before a 5- member volunteer city board that acts as the local licensing authority called the Beverage Licensing Authority or BLA. The BLA makes final decisions on liquor applications and on any liquor license violations. Within 30 days of the BLA hearing, written final findings will be sent.

**STEP 11- BLA APPROVAL SENT TO STATE LIQUOR ENFORCEMENT DIVISION**- if the BLA approves the application in a public hearing, then local approval will be sent to the State Liquor Enforcement Divisionor State LED

**STEP 12- STATE LED ISSUES STATE LICENSE TO CITY**- if the State LED approves the state license aftertheir application review, they will send the state license to City Licensing

**STEP 13- PREMISE INSPECTION TO CONFIRM PREMISE DIAGRAM AND CITY AND STATE LICENSE ISSUANCE**- If the BLA approves issuance of the city license and the State LED approves the state license, then City Licensing will schedule a premise inspection with the applicant, after a letter of completion and health inspection approval have been supplied, to complete the premise inspection. City Licensing will confirm that the observe premise matches the diagram that the BLA approved, and if the inspectionis passed, City Licensing will provide the applicant (now Licensee) with the original city and state liquor licenses.

### STEP 1- ZONING APPROVAL OF LOCAL ZONING LAWS & APPLICATION CLINICS- Detailed Process Explanation

Zoning Confirmation for Beverage Licensing Authority (BLA) is a discretionary review process to determine if the use is appropriate in the proposed location. The review will evaluate the proposed operating characteristics and identify any potential additional reviews required. An approved Zoning Confirmation for BLA constitutes all zoning requirements have been met with the proposed use.

A request for a Zoning Confirmation for BLA is made by completing & submitting an **Administrative Review Application & Zoning Confirmation Application** with the Planning and Development Services Department. The applications can be found at the following links:

• Application Guide: https://bouldercolorado.gov/media/1447/download?inline

• Administrative Review Application Form: https://bouldercolorado.gov/sites/default/ files/2022-12/PLN\_ADR\_DEC%202022.pdf

• Zoning Confirmation for BLA Form and Submittal Requirements: https://bouldercolorado.gov/sites/default/files/2022-10/Zoning%20Confirmation%20Attachment%20to%20ADR\_Sept%202022.pdf

The Administrative Review Application Form, the Zoning Confirmation for BLA Form, and the submittal requirements listed in the Zoning Confirmation form need to be formatted according to the Application Guide and emailed to PDSskipatrip@bouldercolorado.gov by 10 am on the Application Deadline. Application Deadlines may be found on the Development Review and Plan Case Application webpage; refer to the 2023 ADR track calendar PDF.

Should you need additional information on development review application processes and requirements, you can subject a request / report an issue through the Inquire Boulder system at the following link: https://user.govoutreach.com/boulder/faq.php?cmd=shell&goparms=classificationId% 3D42714

### CITY OF BOULDER BEVERAGE LICENSING AUTHORITY <u>CHECKLIST OF REQUIRED DOCUMENTS FOR LIQUOR LICENSES</u> <u>FOR NEW LICENSES, TRANSFER OF OWNERSHIP, AND CHANGE OF CLASS APPLICATIONS</u> FOR CITY AND STATE LICENSING AUTHORITIES REGARDING ALL FMB AND LIQUOR APPLICATIONS

All scanned documents must be properly executed, each question answered, each document fully complete, and must correspond EXACTLY with the name of the license applicant. All scanned documents must be typed or legibly printed in ink.

APPLICANT SHOULD ALSO RETAIN A COMPLETE LICENSE APPLICATION COPY FOR YOUR RECORDS.

You must schedule an appointment to submit your legally complete application prior to or on the deadline date. Please call at least 3 to 4 days ahead before you wish to submit because appointment times on deadline dates tend to fill up fast. Call 303-441-4192, then select "Liquor Licenses" to schedule or email <u>licensingonline@bouldercolorado.gov</u>.

#### I. ZONING LOCATION ANALYSIS DOCUMENTS

[ ] Zoning/Planning Confirmation Form- Conclusions of Zoning Analysis must match the answers to city questions and what is shown on the floor plan exactly for the license application to be accepted. City zoning must approve the liquor license use at the proposed location in order to retain license application. If they do not, then City Licensing will return the application.

#### II. CITY REVIEW APPLICATION DOCUMENTS

[ ] CITY COMPLETES AT APPLICATION IN-TAKE MEETING: Application Review Checklist

[ ] CITY COMPLETES FOR BOUNDARY SETTING HEARING IF REQUIRED: Neighborhood and License Application Details letter for BLA Boundary Setting Deliberations

[ ] CITY COMPLETES FOR BLA APPLICATION HEARING: Preliminary Findings for License Application

[ ] CITY COMPLETES AFTER APPLICATION HEARING FOR APPROVAL OR DENIAL: Findings of Fact and BLA Ruling Order

- [ ] City License Application- fully complete and signed, with answers to questions 1 to 20 attached
  - Operating hours and Seating totals should match those on the below Zoning form

[ ] Other Documents: Menus, Drink lists, Chef Resumes, News Articles, Training Certificates, Change of Class letter for license surrender agreement

[ ] City Transfer License Form accepting license as is with all debts, obligations, and violation history in place- fully completed and signed

[ ] City Financial Statement- total dollar amount required for application and build out should match total amount disclosed and detailed

[ ] Statement of Food Service to acknowledge required food percentage for hotel-restaurants and brew pub licenses only

[ ] Statement of Training to acknowledge city condition for alcohol server training within 90 days of opening or hiring

[ ] City Occupation Tax Registration- Liquor occupation tax is an on-going, yearly city tax based on license class held and will be invoice every six months in January and July

[ ] City Business License for Sales Tax- if not already licensed or preferable applied for on-line for with city area

[ ] City Temporary License for Transfer Applications- For transfers only, all requirements must be met on temporary application for temporary to be issued. Temporary licenses must be requested not later than thirty days from the transfer application filing and city licensing has up to five business days to issue temporary licenses

[ ] Neighborhood Boundary Information and Company Name for Petitioning- Door to door petition are required for permanent modifications, changes of location, changes of class, and new liquor license applications. City licensing will provide the petition materials that are required to be used and neighborhood petition submissions are due at least nine days prior to application hearings.

### III. STATE REQUIRED DOCUMENTS

[ ] State Application- complete all appropriate sections and authorized representative must sign

- In the state application, does the Applicant name of the individual or business entity listed in question 2 match exactly the name of the Tenant in question 14, and also the Tenant/Lessee in the submitted Lease?
- Has the Applicant gone through the page 2 state checklist to ensure that all of those documents are attached and state questions are addressed?
- For question 13, if Applicant holds other liquor licenses, is a detailed list attached?
- In question 15, should this question be complete if the landlord or a manager gets paid a percentage based on sales in the Lease Agreement or Management Agreement?
- In question 21, does the total percentage of all owners disclosed or on attached list total 100% ownership?

[ ] Floor Plan- must be on 8 ½ x11 paper, use highlighter to outline all area that you want included in your liquor license, include separate pages for each floor that will be licensed, and include both overall premise and room dimensions, doors, walls, all locked and unlocked alcohol storage, points of sale, all bars, tables and chairs, booths, patios with means of control (fencing, stationed employees, landscaping), books and records marked as to where alcohol receipts will be stored on the premise, and for restaurants, the kitchen should be marked as "kitchen" with kitchen equipment listed.

[ ] State "Affidavit of Transfer and Statement of Compliance"- fully complete and signed

[ ] Alcohol Inventory if any alcohol was transferred for Transfers of Ownership

[ ] Wholesaler Affidavits for Transferor's Suppliers to confirm payment status for Transfers of Ownership- due by the time of BLA Transfer application hearing

[ ] Purchase Agreement or other document indicating final sale of business

[ ] Notes and Loans- executed by all parties and dollar amounts matching the total disclosed

[ ] Ownership flow chart in complex business ownerships- will be requested for multi-tiered owners

[ ] Individual History Reports (IHR) for all 10% Interest Owners & all Officers/Partners/Managing Members- all background should be disclosed and explained so it matches results discovered

- Under question 14 (a)- this will be the total purchase price or total initial investment and will be the same for all owners or investors
- Under question 14 (b)- this should list the value of the individual owner's personal contribution to the whole purchase or investment and the totals of individual owner's answers should match total the whole dollar amount disclosed
- Under question 14 (c)- this should list personal source of funds and where the funds for contribution are held (checking, savings, home loan, brokerage),
- Under question 14 (d)- this should list all applicant business entity financial investment,
- Under question 14 (e)- this should list all promissory notes and loans, including construction reimbursement by landlords and each entry should explain the repayment terms

[ ] Fingerprint Cards- these must be on standard FBI cardstock cards and Boulder Police can print

[ ] Registered Manager's IHR Form and Fingerprint cards for Hotel-Restaurants, Taverns, and Lodging and Entertainment-if manager listed on the state application is not already an owner, officer or manager, \$75 state & city fee is also required

- { } Applicant Entity Documents For Corporations
  - [ ] Articles of Incorporation
  - [ ] Certificate of Good Standing
  - [ ] Certificate of Authority for foreign companies
  - [ ] Minutes of the First Meeting
  - [ ] List of all officers, directors, and stockholders
- { } Applicant Entity Documents For Partnerships
  - [ ] Partnership Agreement
  - [ ] Dissolution of Partnership for prior owners
- { } Applicant Entity Documents For Limited Liability Companies
  - [ ] Articles of Organization
  - [ ] Certificates of Good Standing
  - [ ] Certificate of Authority- if foreign company
  - [ ] LLC Operating Agreement

- { } Applicant Entity Documents For Sole Proprietors
  - [ ] Lawful Presence Affidavit
  - [ ] Copy of Valid Driver's License

### IV. POSSESSORY DOCUMENTS

[ ] Deed or Leased for Proposed Licensed Premise- properly executed by all parties with all attachments and must be on 8  $\frac{1}{2}$  x 11 paper. Tenant name must match applicant's name exactly.

- [ ] Assignment of Lease- fully executed by all parties and on 8 ½ x11 paper.
- [ ] Lease Amendment- if required to change tenant name to match applicant name or list address
- [ ] Management Agreements -if any, be prepared to explain why applicant is not joint licensee

### V. CITY AND STATE LICENSE AND APPLICATION FEES

[ ] State Application and License Fees (payable to Colorado Department of Revenue):	\$
[ ] City Application and License Fees (made payable to City of Boulder):	\$
[ ] Background Investigation Fees (payable to City of Boulder and \$38.50 each):	\$
1= \$38.50 2= \$77.00 3= \$115.50 4= \$154.00 5= \$192.50 6= \$231.00 7= \$269.50 8	3= \$308.00
[ ] Application Copy or Scan Fee for lack of Required Applications, Possessory Applicatio Lack of Complete Scan on Thumb Drive (\$50 staff work charge):	ons, or \$

### VI. <u>OTHER REQUIRED ITEMS</u> AND WHEN WILL BE DELIVERED:

## **Resource Contacts Who Have Appeared Before the BLA**

## **Attorneys**

Mark Shapiro, Esq. Dill and Dill Law Firm Laszlo Law	303-443-3234 303-777-3737 303-926-0410	<u>https://dillanddill.com/</u> https://www.laszlolaw.com/
Wussow and Associates	303-800-1590	http://www.lasziolaw.com/
Runco/Proffitt, P.C.	720-452-2646	https://runprolaw.com/
O'Brien Law, P.C.	303-443-2626	http://fernobrienlaw.com/
Kristine Holtgen, Esq.	303-202-5075	
Li & Associates, P. C. (Mandarin Chinese)	720-535-8726	
Lyons Gaddis Law Firm	303-776-9900	https://www.lyonsgaddis.com/
Erika Kaiser, Esq.	719-473-8780	
J.Y. Kang, Esq. (Korean)	303-647-5423	

## **Accountants**

Victor Juarez (Spanish)	303-567-6310
A Boulder Bookkeeper	720-255-3490 or <u>mara@boulderbookkepper.com</u>

## **Consultants**

Silvia Chaney, CPA Business and Start-Ups Consulting

Hanson Business Strategies Planning and Zoning Consultant

## **Neighborhood Petitioning Services**

Liquor Pros (English and Spanish)

719-390-8844

303-6661-9721 or chaneycpa@earthlink.net

303-859-0333 or lizhanson22@gmail.com

http://www.liquorpros.com/

## **Trade Organizations**

Responsible Association of Retailiers	nathand@partnersmentoringyouth.org		
Boulder Convention and Visitors Bureau	303-442-2911 <a href="http://www.bouldercoloradousa.com/cvb/">www.bouldercoloradousa.com/cvb/</a>		
Boulder Small Business Development	303-442-1475 www.bouldersbdc.com		

## **City of Boulder**

Officer Rich Denig-BPD Alcohol Enforcement Officer	303-413-4485
Lane Landrith-Community Vitality/Business Assistance	303-441-7316
Cris Jones-DUHMD	303-413-7302
Mishawn Cook-Licensing Manager and BLA Secretary	303-441-4192
Website	

# 2023 SCHEDULE OF HEARINGS AND APPLICATION DEADLINE DATES FOR LIQUOR LICENSES

Please upload your new/transfer/change of class/change of location/permanent modification application using the secure folder request system on our main web page as soon as you have zoning approval, but not later than 48 hours prior to your virtual intake meeting. You will be contacted to schedule an intake meeting by the staff member assigned to your application, but if you have questions, you may email us at: LicensingOnline@bouldercolorado.gov

Maintenance of this docketing schedule is necessary to ensure timing of corrected documents, proper public notice and premise posting in accordance with State Liquor Code and Beverage Licensing Authority (BLA) Rules.

For 2023, deadline dates are generally on the Monday of each month that is at least 30 days from the earliest BLA hearing date that an application could be scheduled, but we reserve the right to move deadline dates to an earlier week as needed.

BLA public hearings occur on the 3<sup>rd</sup> Wednesday of every month, begin at 3PM, and generally, if hearings are not being held virtually, occur in City Council Chambers- 2<sup>nd</sup> floor of City Municipal Building.

Deadline to submit application	Date of scheduled application public hearing before BLA
Monday, December 19, 2022	For Applications <u>without</u> petitioning: January 18, 2023
	For Applications <u>with</u> petitioning: February 15, 2023
Monday, January 9, 2023	For Application <u>without petitioning</u> : February 15, 2023
	For Applications <u>with</u> petitioning: March 15, 2023
Monday, February 13, 2023	For Applications <u>without petitioning</u> : March 15, 2023
	For Applications with petitioning: April 19, 2023
Monday, March 13, 2023	For Applications <u>without petitioning</u> : April 19, 2023
	For Applications <u>with petitioning</u> : May 17, 2023
Monday, April 17, 2023	For Applications <u>without</u> petitioning: May 17, 2023
	For Applications with petitioning: June 21, 2023

Please note: deadline dates for filing will be changed as needed to: I) Tuesday to accommodate city holidays, or II) an earlier Monday so that new application hearings are at least 30 days from filing date.

Monday, May 15, 2023	For Applications <u>without</u> petitioning: June 21, 2023
	For Applications <u>with petitioning</u> : July 19, 2023
Monday, June 12, 2023	For Applications <u>without</u> petitioning: July 19, 2023
	For Applications <u>with</u> petitioning: August 16, 2023
Monday, July 10, 2023	For Applications <u>without</u> petitioning: August 16, 2023
	For Applications <u>with petitioning</u> : September 20, 2023
Monday, August 14, 2023	For Applications <u>without</u> petitioning: September 20, 2023
	For Applications <u>with</u> petitioning: October 18, 2023
Monday, September 18,	For Applications <u>without</u> petitioning: October 18, 2023
2023	For Applications with petitioning: November 15, 2023
Monday, October 9, 2023	For Applications <u>without</u> petitioning: November 15, 2023
	For Applications <u>with</u> petitioning: December 20, 2023
Monday, November 13, 2023	For Applications <u>without</u> petitioning: December 20, 2023
	For Applications <u>with</u> petitioning: January 17, 2024
Monday, December 11, 2023	For Applications <u>without</u> petitioning: January 17, 2024
	For Applications <u>with</u> petitioning: February 21, 2024

Examples of liquor license applications without petitioning are renewal hearing, temporary modifications with comments or concerns, special event liquor permits with comments or concerns, and transfer applications where the criteria require BLA hearing and there are no permanent modifications planned.

Examples of liquor license applications with petitioning are new licenses, change of class, change of location, and permanent modifications with all of these applications requiring neighborhood boundary setting for petitioning during the hearing the month prior to the BLA application hearing.

#### 2022 LIQUOR LICENSE FEE SCHEDULE

#### Effective January 1, 2022

"City of Boulder" and "Colorado Department of Revenue" fees are preferred paid online at: For City of Boulder with a city CSS account at: https://energovcss.bouldercolorado.gov/EnerGov\_Prod/SelfService#/home For Colorado Department of Revenue at: https://secure.colorado.gov/payment/liquor

Liquor License Type	City Application Fee	City License Fee	Total City Fees	City Occupation Tax	State Application Fee	State License Fee	Total State Fees
Arts							
New	\$1,000.00	\$41.25	\$1,041.25	\$1,284.00	\$1,100.00	\$308.75	\$1,408.75
Transfer	\$750.00	\$41.25	\$791.25	\$1,284.00	\$1,100.00	\$308.75	\$1,408.75
Concurrent Review	\$1,000.00	\$41.25	\$1,041.25	\$1,284.00	\$1,200.00	\$308.75	\$1,558.75
Renewal	\$100.00	\$41.25	\$141.25	\$1,284.00	\$50.00	\$308.75	\$358.75

#### **Art Gallery Permit**

New	\$100.00	\$25.00	\$125.00	\$0.00	\$71.25	\$71.25	\$142.50
Transfer	\$100.00	\$25.00	\$125.00	\$0.00	\$71.25	\$71.25	\$142.50
Concurrent Review	\$100.00	\$25.00	\$125.00	\$0.00	\$71.25	\$71.25	\$142.50
Renewal	\$100.00	\$25.00	\$125.00	\$0.00	\$50.00	\$71.25	\$121.25

#### **Bed & Breakfast Permit**

New	\$100.00	\$25.00	\$125.00	\$0.00	\$50.00	\$21.25	\$71.25
Transfer	\$100.00	\$25.00	\$125.00	\$0.00	\$50.00	\$21.25	\$71.25
Concurrent Review	\$100.00	\$25.00	\$125.00	\$0.00	\$50.00	\$21.25	\$71.25
Renewal	\$100.00	\$25.00	\$125.00	\$0.00	\$50.00	\$71.25	\$121.25

#### Beer & Wine

New	\$1,000.00	\$48.75	\$1,048.75	\$1,085.00	\$1,100.00	\$351.25	\$1,451.25
Transfer	\$750.00	\$48.75	\$798.75	\$1,085.00	\$1,100.00	\$351.25	\$1,451.25
Concurrent Review	\$1,000.00	\$48.75	\$1,048.75	\$1,085.00	\$1,200.00	\$351.25	\$1,551.25
Renewal	\$100.00	\$48.75	\$148.75	\$1,085.00	\$50.00	\$351.25	\$401.25

#### **Brew Pub**

New	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$1,100.00	\$750.00	\$1,850.00
Transfer	\$750.00	\$75.00	\$825.00	\$3,253.00	\$1,100.00	\$750.00	\$1,850.00
Concurrent Review	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$1,200.00	\$750.00	\$1,950.00
Renewal	\$100.00	\$75.00	\$175.00	\$3,253.00	\$50.00	\$750.00	\$800.00

Club							
New	\$1,000.00	\$41.25	\$1,041.25	\$1,284.00	\$1,100.00	\$308.75	\$1,408.75
Transfer	\$750.00	\$41.25	\$791.25	\$1,284.00	\$1,100.00	\$308.75	\$1,408.75
Concurrent Review	\$1,000.00	\$41.25	\$1,041.25	\$1,284.00	\$1,200.00	\$308.75	\$1,508.75
Renewal	\$100.00	\$41.25	\$141.25	\$1,284.00	\$50.00	\$308.75	\$358.75

#### **Distillery Pub**

New	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$1,100.00	\$750.00	\$1,850.00
Transfer	\$750.00	\$75.00	\$825.00	\$3,253.00	\$1,100.00	\$750.00	\$1,850.00
Concurrent Review	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$1,200.00	\$750.00	\$1,950.00
Renewal	\$100.00	\$75.00	\$175.00	\$3,253.00	\$50.00	\$750.00	\$800.00

#### Liquor Licensed Drugstore

New	\$1,000.00	\$22.50	\$1,022.50	\$988.50	\$1,100.00	\$227.50	\$1,327.50
Transfer	\$750.00	\$22.50	\$772.50	\$988.50	\$1,100.00	\$227.50	\$1,327.50
Concurrent Review	\$1,000.00	\$22.50	\$1,022.50	\$988.50	\$1,200.00	\$227.50	\$1,427.50
Renewal	\$100.00	\$22.50	\$122.50	\$988.50	\$50.00	\$227.50	\$277.50

#### Lodging & Entertainment

New	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$1,100.00	\$500.00	\$1,600.00
Transfer	\$750.00	\$75.00	\$825.00	\$3,253.00	\$1,100.00	\$500.00	\$1,600.00
Concurrent Review	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$1,200.00	\$500.00	\$1,700.00
Renewal	\$100.00	\$75.00	\$175.00	\$3,253.00	\$50.00	\$500.00	\$550.00

#### **Hotel-Restaurant**

New	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$1,100.00	\$500.00	\$1,600.00
Transfer	\$750.00	\$75.00	\$825.00	\$3,253.00	\$1,100.00	\$500.00	\$1,600.00
Concurrent Review	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$1,200.00	\$500.00	\$1,700.00
Renewal	\$100.00	\$75.00	\$175.00	\$3,253.00	\$50.00	\$500.00	\$550.00

Liquor License Type	City Application Fee	City License Fee	Total City Fees	City Occupation Tax	State Application Fee	State License Fee	Total State Fees
Retail Liquor Store							
New	\$1,000.00	\$22.50	\$1,022.50	\$988.50	\$1,100.00	\$227.50	\$1,327.50
Transfer	\$750.00	\$22.50	\$772.50	\$988.50	\$1,100.00	\$227.50	\$1,327.50
Concurrent Review	\$1,000.00	\$22.50	\$1,022.50	\$988.50	\$1,200.00	\$227.50	\$1,427.50
Renewal	\$100.00	\$22.50	\$122.50	\$988.50	\$50.00	\$227.50	\$277.50
Tastings Permit	\$0.00	\$50.00	\$50.00	N/A	\$0.00	\$0.00	\$0.00
Delivery Permit	\$0.00	\$0.00	\$0.00	N/A	\$0.00	\$0.00	\$0.00
Out of State D.P.	\$0.00	\$0.00	\$0.00	N/A	\$0.00	\$100.00	\$100.00
Tavern							
New	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$1,100.00	\$500.00	\$1,600.00
Transfer	\$750.00	\$75.00	\$825.00	\$3,253.00	\$1,100.00	\$500.00	\$1,600.00
Concurrent Review	\$1,000.00	\$75.00	\$1,075.00	\$3,253.00	\$1,200.00	\$500.00	\$1,700.00
Renewal	\$100.00	\$75.00	\$175.00	\$3,253.00	\$50.00	\$500.00	\$550.00
Fermented Malt Beverage	ge Off-Premise						
New	\$1,000.00	\$3.75	\$1,003.75	\$275.00	\$1,100.00		\$1,196.25
Transfer	\$750.00	\$3.75	\$753.75	\$275.00	\$1,100.00		\$1,196.25
Concurrent Review	\$1,000.00	\$3.75	\$1,003.75	\$275.00	\$1,200.00		\$1,346.25
Renewal	\$100.00	\$3.75	\$103.75	\$275.00	\$50.00	\$96.25	\$146.25

#### Fermented Malt Beverage On-Premise

New	\$1,000.00	\$3.75	\$1,003.75	\$400.00	\$1,100.00	\$96.25	\$1,196.25
Transfer	\$750.00	\$3.75	\$753.75	\$400.00	\$1,100.00	\$96.25	\$1,196.25
Concurrent Review	\$1,000.00	\$3.75	\$1,003.75	\$400.00	\$1,200.00	\$96.25	\$1,296.25
Renewal	\$100.00	\$3.75	\$103.75	\$400.00	\$50.00	\$96.25	\$146.25

#### Special Event Permit (Beer, Wine, & Hard Liquor)

Fee per day (Limit 15 days @	\$50 for 250	\$0.00	\$50 for 250	N/A	N/A	\$0.00	\$0.00
year)	people or less or		people or less or				
	\$100 for 251		\$100 for 251				
	people or more		people or more				

Local and State Feea should be made payable to "City of Boulder" and/or "Colorado Department of Revenue", and are preferred to be paid online

Occupation Tax listed above is for an entire year period. Occupation Tax is a yearly tax that is billed each January 1 and each July 1 for the next 6 month period and is due 30 days after the billing date.

For New licenses, occupation tax need not be paid at the time of application but instead will be pro-rated and billed based on the date of license issuance. For Transfer licenses, occupation tax must be paid before a temporary license will be issued.

Occupation tax continues to accrue even in the instance of closure so it is in a licensee's best interest to promptly surrender unused liquor licenses.

### 2022 FEES FOR LIQUOR LICENSE CHANGES

Change	Local Fee	State Fee	Background Fee
Change of Location	\$750.00	\$150.00	None
Trade Name or Business Entity Name Change	\$50.00	\$50.00	None
Entity Changes- Officers/Owners (per new person- may be charged by city <b>or</b> state)	\$100.00	\$100.00	CABS Vendor Fee
Duplicate License	\$50.00	\$50.00	None
Expansion- add Optional Premises (each permit)	None	\$100.00	None
Late Renewal Fee (after expiration date)	\$500.00	\$500.00	None
Manager Registration (H&R,Tavern,Lodging & Entertainment licenses)	\$75.00	\$75.00	CABS Vendor Fee
Modification of Premises (Temporary/ Permanent)	\$50/\$100	\$300/\$150	None
Temporary License for Transfers Only	\$100.00	None	None
500' Measurement Request	\$50.00	None	None

Effective January 1, 2022

Local and State Fees are payable to "City of Boulder" and/or "Colorado Department of Revenue", and are preferred paid online.

The Background fee is paid at time of online registration through the designated CABS vendor. Please see the application packet for more information.

## Colorado Liquor Retail License Application

New License N	lew-Concurrent	Transfer o	of Ownership	State Property	Only	Master file	
<ul> <li>All answers must be printed i</li> <li>Applicant must check the applicant should obtain a context</li> </ul>	propriate box(es)		Beer Code: www	v.colorado.gov/enford	cemen	t/liquor	
1. Applicant is applying as a/an	Individual	Limited Liabil	ity Company	Association or C	Other		
	Corporation	Partnership (i	includes Limited	Liability and Husban	d and	Wife Partnerships)	
<ol> <li>Applicant If an LLC, name of LLC;</li> </ol>	-			-		FEIN Number	
2a. Trade Name of Establishment (DB	A)			State Sales Tax Numb	er	Business Telephone	
3. Address of Premises (specify exact	t location of premises,	include suite/u	nit numbers)	1			
City			County		State	ZIP Code	
4. Mailing Address (Number and Stre	eet)		City or Town		State	ZIP Code	
5. Email Address			1		I	1	
6. If the premises currently has a liqu	or or beer license, you	must answer	the following quest	ions			
Present Trade Name of Establishmen	t (DBA)	Present State	e License Number	Present Class of Licer	nse	Present Expiration Date	
Section A	Nonrefundable Appl	ication Fees*	Section B (Cont.)	,		Liquor License Fees*	
Application Fee for New License		\$1,550.00	Liquor–License	ed Drugstore (County)		\$312.50	
Application Fee for New License w/						\$500.00	
Application Fee for Transfer		\$1,550.00				\$500.00	
Section B	Liquor L	icense Fees*	🛛 🗆 Manager Regi	stration - H & R		\$75.00	
Add Optional Premises to H & R	\$100.00 X -	Total	🗌 🗆 Manager Regi	stration - Tavern		\$75.00	
						ent\$75.00	
Add Related Facility to Resort Comp						ex\$75.00	
Add Sidewaik Service Area						\$500.00	
Arts License (County)						\$500.00	
Beer and Wine License (City)						\$500.00	
Beer and Wine License (Courty)							
Brew Pub License (City)							
Brew Pub License (County)							
□ Campus Liquor Complex (City)			$\square$ Related Facility - Campus Equor Complex (City)				
Campus Liquor Complex (County)							
Campus Liquor Complex (State)						ate)\$160.00 \$500.00	
Club License (City)			-			\$500.00	
Club License (County)		\$308.75				\$227.50	
Distillery Pub License (City)		\$750.00				·)\$312.50	
Distillery Pub License (County)		\$750.00				\$227.50	
Hotel and Restaurant License (City)		\$500.00				\$312.50	
□ Hotel and Restaurant License (Cour	nty)	\$500.00		• • •		\$500.00	
Hotel and Restaurant License w/one	opt premises (City)	\$600.00				\$500.00	
Hotel and Restaurant License w/one			U Vintners Resta	urant License (City)		\$750.00	
Liquor–Licensed Drugstore (City)		\$227.50		· • /		\$750.00	
	* Note that	the Divisio	on will not acc	ept cash			
				uor for more infor		n	
Do i	not write in this s	-	-	Revenue use on	y		
License Account Number	Liability Date		nformation ed Through (Expira	ition Date)	Total ⊄		
					\$		

## **Application Documents Checklist and Worksheet**

**Instructions:** This checklist should be utilized to assist applicants with filing all required documents for licensure. **All** documents must be properly signed and correspond with the name of the applicant <u>exactly</u>. **All** documents must be typed or legibly printed. Upon final State approval the license will be mailed to the local licensing authority. Application fees are nonrefundable. **Questions? Visit:** *www.colorado.gov/enforcement/liquor* **for more information** 

	Items submitted, please check all appropriate boxes completed or documents submitted
Ι.	Applicant information
	A. Applicant/Licensee identified
	B. State sales tax license number listed or applied for at time of application
	C. License type or other transaction identified
	<ul> <li>D. Return originals to local authority (additional items may be required by the local licensing authority)</li> <li>E. All sections of the application need to be completed</li> </ul>
	<ul> <li>E. All sections of the application need to be completed</li> <li>F. Master file applicants must include the Application for Master File form DR 8415 and applicable fees to this</li> </ul>
	Retail License Application
П.	Diagram of the premises
".	□ A. No larger than 8 1/2" X 11"
	<ul> <li>B. Dimensions included (does not have to be to scale). Exterior areas should show type of control (fences,</li> </ul>
	walls, entry/exit points, etc.)
	C. Separate diagram for each floor (if multiple levels)
	D. Kitchen - identified if Hotel and Restaurant
	E. Bold/Outlined Licensed Premises
III.	
	A. Deed in name of the applicant (or) (matching question #2) date stamped / filed with County Clerk
	B. Lease in the name of the applicant (or) (matching question #2)
	C. Lease assignment in the name of the applicant with proper consent from the landlord and acceptance by the applicant
	D. Other agreement if not deed or lease. (matching question #2)
IV.	
	A. Complete DR 8404-I for each principal (individuals with more than 10% ownership, officers, directors,
	partners, members) <ul> <li>B. Fingerprints taken and submitted to the appropriate Local Licensing Authority through an approved state</li> </ul>
	vendor. Do not complete fingerprint cards prior to submitting your application.
	The Vendors are as follows:
	IdentoGO – https://uenroll.identogo.com/
	Phone: 844-539-5539 (toll-free)
	IdentoGO FAQs: https://www.colorado.gov/pacific/cbi/identification-faqs
	Colorado Fingerprinting – http://www.coloradofingerprinting.com
	Appointment Scheduling Website: http://www.coloradofingerprinting.com/cabs/
	Phone: 720-292-2722 Toll Free: 833-224-2227
	C. Purchase agreement, stock transfer agreement, and/or authorization to transfer license
	<ul> <li>D. List of all notes and loans (Copies to also be attached)</li> </ul>
<b>V</b> .	Sole proprietor/husband and wife partnership (if applicable)
	A. Form DR 4679
	B. Copy of State issued Driver's License or Colorado Identification Card for each applicant
VI.	Corporate applicant information (if applicable)
	<ul> <li>A. Certificate of Incorporation</li> <li>B. Certificate of Good Standing</li> </ul>
	<ul> <li>C. Certificate of Authorization if foreign corporation (out of state applicants only)</li> </ul>
	Partnership applicant information (if applicable)
<b>V</b> 11.	A. Partnership Agreement (general or limited).
	<ul> <li>B. Certificate of Good Standing</li> </ul>
VIII	. Limited Liability Company applicant information (if applicable)
****	□ A. Copy of articles of organization
1	<ul> <li>B. Certificate of Good Standing</li> </ul>
	C. Copy of Operating Agreement (if applicable)
	<ul> <li>D. Certificate of Authority if foreign LLC (out of state applicants only)</li> </ul>
IX.	Manager registration for Hotel and Restaurant, Tavern, Lodging & Entertainment, and Campus Liquor
	Complex licenses when included with this application
	A. \$75.00 fee
	B. Individual History Record (DR 8404-I)
1	C. If owner is managing, no fee required

DR 04	104 (01/22/20)								
Nam	le		Type of Lice	nse	Account N	Number			
7.	Is the applicant (including any of the partners if a stockholders or directors if a corporation) or man				ability company; or off	icers,	Yes	No	
8.	Has the applicant (including any of the partners in				liability company; or	officers,			
	stockholders or directors if a corporation) or man <b>a.</b> Been denied an alcohol beverage license?	agers ever (in Colora	do or any oth	er state):					
	<b>b.</b> Had an alcohol beverage license suspended of	or revoked?							
	c. Had interest in another entity that had an alcoh		suspended or	revoked?					
	u answered yes to 8a, b or c, explain in detail on a								
9.	Has a liquor license application (same license clappreceding two years? If "yes", explain in detail.	ass), that was located	within 500 fe	et of the propo	sed premises, been d	lenied within the			
10.	Are the premises to be licensed within 500 feet, of Colorado law, or the principal campus of any coll			meets compuls	sory education require	ements of		Dr Dr	
					Waiver by	local ordinance?			
					Other:				
11.	<ol> <li>Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 1500 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of greater than (&gt;) 10,0000? NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal door- way of the Licensed LLDS/RLS.</li> </ol>								
12.	12. Is your Liquor Licensed Drugstore (LLDS) or Retail Liquor Store (RLS) within 3000 feet of another retail liquor license for off-premises sales in a jurisdiction with a population of less than (<) 10,000? NOTE: The distance shall be determined by a radius measurement that begins at the principal doorway of the LLDS/RLS premises for which the application is being made and ends at the principal doorway of the LLDS/RLS.								
13	a. For additional Retail Liquor Store only. Was you	ur Retail Liquor Store	License issue	ed on or before	January 1, 2016?				
13	b. Are you a Colorado resident?								
14.	Has a liquor or beer license ever been issued to Limited Liability Company; or officers, stockholde current financial interest in said business including	ers or directors if a con	poration)? If						
15.	Does the applicant, as listed on line 2 of this applic arrangement?		session of th	e premises by	<b>ownership</b> , lease or	other			
	a. If leased, list name of landlord and tenant, and		ctly as they a	opear on the le					
Land		Tenant				Expires			
Lan		Tenant				Lapies			
	b. Is a percentage of alcohol sales included as co	ompensation to the la	ndlord? If ye	s, complete qu	estion 16.				
	c. Attach a diagram that designates the area to b partitions, entrances, exits and what each roor								
16.	Who, besides the owners listed in this application (i inventory, furniture or equipment to or for use in the								
Last	Name	First Name		Date of Birth	FEIN or SSN	Interest/I	Percei	ntage	
Last	Name	First Name		Date of Birth	FEIN or SSN	Interest/I	Percei	ntage	
part	ch copies of all notes and security instruments nerships, corporations, limited liability compan ting to the business which is contingent or con-	ies, etc.) will share in	n the profit o	r gross proce	eds of this establish	ment, and any a			
17.	Optional Premises or Hotel and Restaurant Licer Has a local ordinance or resolution authorizing of								
		Number of add	ditional Optior	nal Premise are	eas requested. (See li	cense fee chart)			
	For the addition of a Sidewalk Service Area per the local governing body authorizing use of the s other legal permissions.	idewalk. Documentati							
19.	Liquor Licensed Drugstore (LLDS) applicants, an a. Is there a pharmacy, licensed by the Colorado If "yes" a copy of license must be attached	Board of Pharmacy, I	ocated within	the applicant's	s LLDS premise?				

	404 (01/22/20)							
Nam	ne	Type of Lice	ense	Account Number				
20.	Club Liquor License applicants answer the following: Attach a co	py of applicable	documentation		Yes	s No		
	<ul> <li>a. Is the applicant organization operated solely for a national, social, fr</li> <li>b. Is the applicant organization a regularly chartered branch, lodge object of a patriotic or fraternal organization or society, but not for</li> </ul>	raternal, patriotic, or chapter of a	political or athletic purp national organization v		gain? 🗌			
	c. How long has the club been incorporated?							
	d. Has applicant occupied an establishment for three years (three ye		was operated solely for	or the reasons stated above	∋? □			
21.	Brew-Pub, Distillery Pub or Vintner's Restaurant applicants answer a. Has the applicant received or applied for a Federal Permit? (Cop		plication must be atta	(ched)				
22.	Campus Liquor Complex applicants answer the following:	by of permit of a						
	<b>a.</b> Is the applicant an institution of higher education?							
	<ul> <li>b. Is the applicant a person who contracts with the institution of hig If "yes" please provide a copy of the contract with the institu-</li> </ul>							
23.	<ul> <li>For all on-premises applicants.</li> <li>a. Hotel and Restaurant, Lodging and Entertainment, Tavern Licen Individual History Record <ul> <li>DR 8404-1 and fingerprint submitted to approved State Vendor</li> </ul> </li> <li>b. For all Liquor Licensed Drugstores (LLDS) the Permitted Manager</li> </ul>	through the Ven	dor's websit <b>e.</b> See app	olication checklist, Section				
	- DR 8000 and fingerprints.		t an Manager F ennich	phoadon				
Last	t Name of Manager	First Name	of Manager					
24.	Does this manager act as the manager of, or have a financial inter Colorado? If yes, provide name, type of license and account numb		liquor licensed establi	shment in the State of	Yes	s No		
25.	Related Facility - Campus Liquor Complex applicants answer the f	•						
	<ul> <li>a. Is the related facility located within the boundaries of the Campus Liquor Complex?</li> <li>If yes, please provide a map of the geographical location within the Campus Liquor Complex.</li> <li>If no, this license type is not available for issues outside the geographical location of the Campus Liquor Complex.</li> </ul>							
	b. Designated Manager for Related Facility- Campus Liquor Comp							
Last	t Name of Manager	First Name	of Manager					
26.	Tax Information.				Yes	s No		
	a. Has the applicant, including its manager, partners, officer, direct other person with a 10% or greater financial interest in the applic payment of any state or local taxes, penalties, or interest related	cant, been found I to a business?	in final order of a tax	agency to be delinquent in	r any			
	b. Has the applicant, including its manager, partners, officer, direct other person with a 10% or greater financial interest in the applie 44-3-503, C.R.S.?							
	If applicant is a corporation, partnership, association or limited lia and Managing Members. In addition, applicant must list any stor applicant. All persons listed below must also attach form DR 8 State Vendor through their website. See application checklist, See	ckholders, partn 3404-I (Individua ction IV, for deta	ers, or members with I History Record), and ils.	ownership of 10% or mo I make an appointment wi	ore in the ith an app	roved		
Nam	ne Home Address, City & S	State	DOB	Position	%Ö	wned		
Nan	ne Home Address, City & S	State	DOB	Position	%0	wned		
Nam	ne Home Address, City & S	State	DOB	Position	%0	wned		
Nam	ne Home Address, City & S	State	DOB	Position	%0	wned		
Nam	ne Home Address, City & S	State	DOB	Position	%0	wned		
** C	applicant is owned 100% by a parent company, please list the design corporations - the President, Vice-President, Secretary and Treasurer total ownership percentage disclosed here does not total 100%, app ☐ Applicant affirms that no individual other than these disclosed her prohibited liquor license pursuant to Article 3 or 5, C.R.S.	must be account plicant must chee	ed for above (Include o ok this box:			t in a		

Name		Type of License	ype of License Account Number		
	Oath Of	Applicant			
I declare under penalty of perjury in the second degr knowledge. I also acknowledge that it is my respon Colorado Liquor or Beer Code which affect my licer	ree that this application ar sibility and the responsib	nd all attachments are tru			
Authorized Signature	Printed Name and	Title			Date
Report and A	pproval of Local L	icensing Authority	(City/Cou	nty)	
Date application filed with local authority Da	te of local authority hearing	(for new license applicants	s; cannot be less	s than 30 days from date	of application)
The Local Licensing Authority Hereby Affirms that each been:      Fingerprinted     Subject to background investigation, include That the local authority has conducted, or intends t and aware of, liquor code provisions affecting their (Check One)     Date of inspection or anticipated date	ding NCIC/CCIC check for o conduct, an inspection	or outstanding warrants			·
☐ Will conduct inspection upon approval of s	tate licensing authority				
□ Is the Liquor Licensed Drugstore (LLDS) of premises sales in a jurisdiction with a pop	or Retail Liquor Store (RI ulation of > 10,0000?	S) within 1,500 feet of a	another retail li	quor license for off-	Yes No
☐ Is the Liquor Licensed Drugstore(LLDS) c premises sales in a jurisdiction with a pop		S) within 3,000 feet of a	nother retail lic	uor license for off-	
<b>NOTE:</b> The distance shall be determined for which the application is being made an				of the LLDS/RLS prem	ses
Does the Liquor-Licensed Drugstore (LLD from the sale of food, during the prior twel		ercent (20%) of the appl	icant's gross a	nnual income derived	
The foregoing application has been examined; and report that such license, if granted, will meet the re- with the provisions of Title 44, Article 4 or 3, C.R.S.	asonable requirements o	f the neighborhood and	the desires of		
Local Licensing Authority for		Telephone Number		Town, City County	
Signature	Print		Title	· · · · · · · · · · · · · · · · · · ·	Date
Signature	Print		Title		Date

## Tax Check Authorization, Waiver, and Request to Release Information

I, \_\_\_\_\_\_ am signing this Tax Check Authorization, Waiver and Request to Release Information (hereinafter "Waiver") on behalf of \_\_\_\_\_\_ (the "Applicant/Licensee") to permit the Colorado Department of Revenue and any other state or local taxing authority to release information and documentation that may otherwise be confidential, as provided below. If I am signing this Waiver for someone other than myself, including on behalf of a business entity, I certify that I have the authority to execute this Waiver on behalf of the Applicant/Licensee.

The Executive Director of the Colorado Department of Revenue is the State Licensing Authority, and oversees the Colorado Liquor Enforcement Division as his or her agents, clerks, and employees. The information and documentation obtained pursuant to this Waiver may be used in connection with the Applicant/Licensee's liquor license application and ongoing licensure by the state and local licensing authorities. The Colorado Liquor Code, section 44-3-101. et seq. ("Liquor Code"), and the Colorado Liquor Rules, 1 CCR 203-2 ("Liquor Rules"), require compliance with certain tax obligations, and set forth the investigative, disciplinary and licensure actions the state and local licensing authorities may take for violations of the Liquor Code and Liquor Rules, including failure to meet tax reporting and payment obligations.

The Waiver is made pursuant to section 39-21-113(4), C.R.S., and any other law, regulation, resolution or ordinance concerning the confidentiality of tax information, or any document, report or return filed in connection with state or local taxes. This Waiver shall be valid until the expiration or revocation of a license, or until both the state and local licensing authorities take final action to approve or deny any application(s) for the renewal of the license, whichever is later. Applicant/Licensee agrees to execute a new waiver for each subsequent licensing period in connection with the renewal of any license, if requested.

By signing below, Applicant/Licensee requests that the Colorado Department of Revenue and any other state or local taxing authority or agency in the possession of tax documents or information, release information and documentation to the Colorado Liquor Enforcement Division, and is duly authorized employees, to act as the Applicant's/Licensee's duly authorized representative under section 39-21-113(4), C.R.S., solely to allow the state and local licensing authorities, and their duly authorized employees, to investigate compliance with the Liquor Code and Liquor Rules. Applicant/Licensee authorizes the state and local licensing authorities, their duly authorized employees, and their legal representatives, to use the information and documentation obtained using this Waiver in any administrative or judicial action regarding the application or license.

Name (Individual/Business)		Social Security Number/Tax Identification Number			
Address					
City		State	Zip		
Home Phone Number	Business/Work Ph	one Number			
Printed name of person signing on behalf of the Applicant/Licensee	1				
Applicant/Licensee's Signature (Signature authorizing the disclosure of con-	fidential tax informat	tion)	Date signed		
Privacy Ac Providing your Social Security Number is voluntary and no result of refusal to disclose it. § 7 of Privacy Act, 5 USCS § 5	-	privilege pro	vided by law will be denied as a		



### CITY OF BOULDER BEVERAGE LICENSING AUTHORITY ACKNOWLEDGEMENT OF TRANFER OF LICENSE SUBJECT TO PAST, PENDING, OR POSSIBLE FUTURE DISCIPLINARY ACTION

I, \_\_\_\_\_ (Person's Name) on behalf of \_\_\_\_\_ (Business Applicant Name) am the applicant for transfer of the liquor license currently issued to \_\_\_\_\_\_ at the licensed premise location of \_\_\_\_\_\_

I understand and agree that if the transfer of the license is approved, the license may continue to be subject to any restrictions and conditions currently on the license. I understand that if there are any days of suspension currently held in abeyance against the license, the license may continue to be subject to those days in abeyance even after the transfer.

I further understand that if any incidents, which could subject the license to disciplinary action, have either occurred or will occur on the licensed premise prior to approval of the transfer, the license may still be subject to possible disciplinary actions that could affect the license, even after the transfer, only where myself, my agents(s) and/or my employees either knew or should have known of the incidents.

I understand that it is my sole responsibility to inquire and investigate all possible sources of information to learn of any past, ongoing or possible future disciplinary actions that could result in any revocation, suspension, or fines being imposed. These sources include, but are not limited to the inquiry and investigation of the current licensee, manager(s), employees of the licensed premise, and the review of the public records of the Colorado Department of Revenue, the Boulder City Licensing Division, the Boulder Police Department, the Boulder District Court, the Boulder Municipal Court, and/or the Boulder Courty Court Criminal Divisions.

I also understand that I have the option to withdraw the application for the transfer of the license and file an application for the issuance of a new liquor license. Should a new liquor license be issued, that license would NOT be subject to any disciplinary action based upon incidents prior to the issuance of the new license.

Applicant

Trade Name

Authorized Signature

Print Name, Title, and Date



### CITY OF BOULDER LIQUOR LICENSE APPLICATION

This application must be filed in duplicate with the City of Boulder Licensing Office. All Information must be printed legibly in ink or typewritten.

Appl	icant's Business Name:		
Trad	e Name:		
Phor	ne Number: Co	ntact Pers	on:
Busi	ness Address:		
Mail	ing Address:		
Prop	perty Owner:		Phone Number (local):
Prop	erty Manager:		Phone Number (local):
Prop	erty Manager's Mailing Address:		
Regi	stered Manager or Manager of Record:		_ Phone Number (local):
	Applicant hereby applies to the City of Boulder Beverag use and pays the following fees:	ge Licensin	g Authority (BLA) for the following city liquor
Туре	e of Application (fee):		
	New License: \$1,000.00		Transfer of Ownership: \$750.00
	Change of Location: \$750.00		Temporary License for Transfer: \$100.00
	Change in Class: \$750.00		
Туре	e of License (fee):		
	Hotel-Restaurant: \$75.00		Tavern: \$75.00
	Hotel-Restaurant w/ Optional Premise: \$75.00		Retail Liquor Store: \$22.50
	Beer and Wine: \$48.75		Brew Pub: \$75.00
	Club: \$41.25		Arts: \$41.25
	Resort Complex: \$75.00		Liquor Licensed Drug Store: \$22.50
	Race Track: \$75.00		Lodging & Entertainment: \$75.00
	Vintner's Restaurant: \$75.00		Distillery Pub: \$75.00
	Fermented Malt On-Premise: \$3.75		Fermented Malt Off-Premise: \$3.75
	Bed and Breakfast Permit: \$125.00 Total		Art Gallery Permit: \$103.75 Total
	Manager Registration- if separate from owners (H8	kR and Tav	rern): \$75.00

Total Fees: \$\_\_\_\_\_

ALL APPLICANTS MUST ANSWER THESE 21 QUESTIONS (PLEASE ATTACH A SHEET IF MORE SPACE IS NECESSARY):

- 1) Describe the nature of the proposed establishment and the target market (i.e. restaurant, tavern, live music, sports bar, families, college students). *Please attach a food and drink menu for the BLA*.
- 2) What are the proposed hours and days of operation for this establishment?
- 3) What is the seating capacity of this establishment?
- 4) Have you applied for an "occupation load" for the interior and exterior space from the Boulder Fire Department?
- 5) Do you have an emergency plan for your business (exit locations, fire suppression etc.)?
- 6) How many individuals will be employed at this proposed establishment and how many will be full-time vs parttime?
- 7) Describe each owner's past training and experience in the sale and service of alcohol, including any special or certified training received.
- 8) Describe your proposed Registered Manager or Manager of Record's past training and experience in the sale and service of alcohol, including any special or certified training received.
- 9) Beside state-approved Responsible Vendor training classes which are a condition of city licensure, what other types of training are proposed for the employees in the safe and legal sale and service of alcohol beverages?
- 10) What policies and procedures do you have in place to determine a patron's level of intoxication?

11) What policies and procedures do you have in place to refuse service to a patron?

12) Describe any other types of training or operating procedures that employees will be following in the day to day operations of this proposed establishment.

- 13) What methods will be used in checking identification for proper age of patrons (at the door, at the bar, etc.) and how will underage patrons be identified so as not to be served alcohol (hand stamp, wrist band, etc.)?
- 14) What types of entertainment will be offered, if any, at this establishment (i.e. music, pool, dance floor etc.)?
- 15) Do you plan to have any exterior amplified sound?

16) What types of security, if any, will be provided at this establishment?

- 17) What time will your kitchen close each night? Describe your food plans after your kitchen closes.
- 18) What is the estimated ratio of food to alcohol sales at this establishment?
- 19) If you have an outside patio, what additional means of control (added staff, fencing, sightline, etc.) will you employ?
- 20) Have you included your written alcohol policy with your application? If not, when will it be available? For assistance with an alcohol policy you may contact the Responsible Association of Retailers on our website.

21) If you plan on hosting a "private party", what extra measures will you take regarding security, staffing, and control of noise and alcohol service? A "private party" is described as, "an event where there is a single contact person who represents group of people who are gathering for social and/or business reasons, then event continues after 10PM, there will be both underage and of-age people present, and there will be enough people to constitute an occupancy capacity of 75% or greater."

Applicant must complete Oath on page 4 of this application.

#### OATH OF APPLICANT

I declare under penalty of perjury in the second degree that this application, my answers, and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to know and to comply with the provisions of the State of Colorado Liquor and Beer Codes, State Liquor Regulations, and all City of Boulder Rules, Regulations, and Codes which affect my liquor license.

Authorized Signature

Print Name

Title

Date



#### CITY OF BOULDER FINANCIAL DISCLOSURE STATEMENT

The Applicant hereby agrees that any knowingly false or incomplete answer to the following questions shall constitute cause for the suspension or revocation for the license applied for. Applicant further agrees to notify the City of Boulder Beverage Licensing Authority via the City Licensing Office of any changes in the financing of this business should any changes occur during the period of the pending application and the period for which this license is issued. Please attach all Purchase Agreements, Notes, Loans, Gift Letters and Bank Statements. Amounts much match information provided in the DR8404 I Individual History Reports.

All Information must be printed legibly in ink or typewritten.

Applicant's Business Na	ame:
Trade Name:	
Business Address:	
Phone Number:	Contact Person:
Mailing Address:	
Other Liquor Licensed	Establishments that the owners, principals, or managers of the Applicant now holds:
	ation, partnership, or LLC, names of all persons purchasing stock or membership shares:
Is this a new or existing	g business:
	ess, state approximate investment amount: \$
	usiness, state the purchase price including inventory: \$

If cash is to be invested, please complete the following information (attach an additional page if needed):

All person(s) or business entities investing:
All Investor Addresses:
All Investment Amounts:
All Sources of Investment:
If loans are to be obtained, please complete the following information (attach an additional page in needed):
Name of Lender:
Address of Lender:
Type of Loan:
Loan Amount: \$
Security:
Term of Obligation:
Business Operating Bank Account Information
What Bank will the business account be maintained at:
What name will the account be under?
Who will be authorized to sign on the account?

### OATH OF APPLICANT

I declare under penalty of perjury in the second degree that this application, my answers, and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to know and to comply with the provisions of the State of Colorado Liquor and Beer Codes, State Liquor Regulations, and all City of Boulder Rules, Regulations, and Codes which affect my liquor license.

Authorized	Signature
------------	-----------

Print Name

inforcement	O DEPARTMENT OF REVENUE orcement Division nan St, Denver CO 80261 .2005							
	AFFIDAVIT OF TRANSFER AND ST	ATEMENT OF COMPLIANCE						
	Pursuant to the requirements of 12-47-303(3)(b), Colorado Revised Statutes, Licensee hereby states that all accounts for alcohol beverages sold to the Applicant are:							
Paid in full. There are no outstanding accounts with any Colorado Wholesalers.								
Licensee hereby certifies that the following is a complete list of accounts for alcohol beverages that are unpaid:								
	Licensee and Applicant agree that all accounts will be paid for from the proceeds at closing by the:							
Licensee unavailable to certify disposition of accounts for alcohol beverages - Inventory list attached. Transfer by operation of law - Regulation 47-304.								
Applicant will assume full responsibility for payment of the outstanding accounts as listed above.								
	No alcohol beverage inventory transferred	l or sold.						
Appli	nsee hereby authorizes the transfer of its Co icant, its agent, or a company, corporation, ed by the Applicant.	•						
Date	d this day of, 20							
Selle	er:	Buyer:						
Licer	nsee & License Number	Applicant						
Trade	e name	Trade name						
Sign	nature	Signature						
Posit	ion	Position						
Print	Name	Print Name						
		12.1						

From State Liquor Enforcement Division Bulletin dated June 17, 2016:

"All Retail Licensees

• (d) The state or a local licensing authority shall not approve a transfer of ownership under this subsection (1) until the applicant files with the local licensing authority confirmation from each wholesaler licensed under this article 3 that has sold alcohol beverages to the transferor that the wholesaler has been paid in full for all alcohol beverages delivered to the transferor. (section 44-3-303(1)(d), C.R.S.)"

As such, please see next page which is the State LED's <u>Wholesaler Affidavit for Compliance</u>. These Affidavits must be completed by each supplier of alcohol who has been an alcohol supplier to the seller/transferor in the context of a Transfer of Ownership application and provided to City Licensing as part of the Transfer of Ownership application packet.

Please note that in most cases these Wholesaler Affidavit's need not be completed before a Temporary License may be issued by City Licensing but the completed and signed Affidavits must be supplied to City Licensing before the Beverage Licensing Authority's Transfer Application hearing.

DR 8004 (09/28/18) **COLORADO DEPARTMENT OF REVENUE** Liquor Enforcement Division (303) 205-2300

## Wholesaler Affidavit of Compliance Section 44-3-303(1)(d), C.R.S.

Wholesaler Licensee Name (If an LLC; partnership; corporation or name of corporation)				License Number			
Trade Name of Establishment/Doing Business As (DBA	A)			Pr (	none N )	Number	
Physical Address		City		St	ate Z	ZIP	
Email Address							
Transferor Retailer Licensee Name				License N	lumbe	er	
Trade Name of Establishment/Doing Business As (DBA	A)			Pr (	none N )	Number	
Physical Address		City		St	ate Z	ZIP	
The above wholesaler affirms that all alcoho	ol beverages delivered to	the above	transferor r	etailer a	re:		
$\Box$ Paid in Full (only for the purposes of $c$	complying with section 44	-3-303(1)(	d), C.R.S.)				
<b>Note:</b> If Paid in full is selected, the whet local and state licensing authorities have been been been as the second sec				nsferee o	or tra	ansferc	or until the
□ Not Paid in Full							
Wholesaler:							
Signature	Print		Title			[	Date

#### Food Service Requirements

#### Fermented Malt Beverage Licenses:

Fermented malt Beverage Licensees are not obligated to serve food at any time.

#### **On-Premises Liquor Licenses:**

Club licensees, Arts licensees, Optional premises licensees, and Public Transportation System licensees are not obligated to serve food at any time.

Hotel and Restaurant licensees must have full meals available until 8:00 p.m. everyday, and snacks and sandwiches after 8:00 p.m. Food sales must provide at least 25% of the gross income from the sale of food and beverages.

Brew Pubs must serve meals and must derive 15% of the on-premises gross sales from the sale of food.

Taverns, GamIng Taverns, and Beer and Wine licensees must have sandwiches and snacks available during operating hours.

Racetracks are required to have food available during hours of operation.

When food is required to be served, it must be available wherever alcoholic beverages are served.

#### **Off-premises Liquor Licenses:**

Liquor stores are prohibited from the sale of food items except those approved by the State Licensing Authority that are prepackaged, labeled, directly related to the consumption of liquor, and are sold in containers up to 16 ounces for the purpose of cocktail garnish. Liquor-licensed Drug stores are not subject to prohibitions or requirements regarding sale of food items.

### Valid Proof of Age

- Colorado law **does not** require that licensed establishments selling FMB, wine, and spirits, check identification for proof of age.
- Colorado law does not prohibit underage persons from entering and remaining in licensed establishments.
- Colorado law does not require persons to carry proof of age.
- Colorado law does prohibit any licensed establishment from selling or serving any alcohol beverages to an underage person.
- Licensees should always check proof of age if there is the slightest doubt of the customer's actual age. If no acceptable proof of age is available, no service should be provided.

#### **Identification**

The following forms of identification (see Regulation 47-912) may be relied upon by licensees to show proof of age. Note that each such ID has a photo and a description of the bearer:

- 1. An operator's, chauffeur's or similar type driver's license containing a picture, and date of birth, issued by any state, within the United States, or any U.S. Territory, or any foreign country including Canada or Mexico.
- 2. An identification card issued by any state for the purpose of proof of age in accordance with C.R.S. 42-2-302 and 42-2-303.
- 3. Milltary Identification card.
- 4. A passport.
- 5. An allen registration card.
- 6. A valid employment authorization document issued by the U.S. Department of Homeland Security.
- 7. A valid consular identification card from any foreign country.

If a licensee reasonably relies on one of the above ID's for proof of age, that licensee's liquor license cannot be suspended or revoked for sale to a minor. If, however, a licensee relies on any other type of ID, his license can still be suspended or revoked if the customer with the ID turns out to be underage. Licensees need not take chances, and they should only accept one of the five types of proper ID's above. Persons without proper ID can always be refused service of alcohol.

#### False Identification

Licensees are permitted under CRS 44-47-901(5)(a)(II) to confiscate suspected false or fraudulent identification. Confiscated identification must be turned over to a state or local law enforcement agency within 72 hours. The following are some methods which can be used to detect false ID's:

- 1. Ask for a second piece of identification.
- 2. Put a flashlight behind a Colorado driver's license to spot alterations.
- 3. Check for an extra layer of lamination.
- 4. Look at both sides of an ID for alterations.

## Liquor and Marijuana Licensing Fingerprinting

The City of Boulder Licensing Division has changed their fingerprinting

procedures in response to Senate Bill 17-189.

The Colorado Bureau of Investigations (CBI) has implemented a new process beginning September 24, 2018: Colorado Applicant Background Services (CABS).

To use this service, you will need to go online to one of the state approved vendors to register, schedule your appointment, and pay for your own fingerprinting.

These vendors are selected and trained specifically by the CBI and will be the only locations where civil fingerprinting services will now be available.

The vendor services will be located throughout the state, which is intended to increase applicants' ability to obtain fingerprints in a timely manner. Fingerprints will be submitted electronically, which will allow results to take only hours for processing instead of days or weeks.

In order to receive your City of Boulder specific codes to register/schedule with CABS, you must first book your application intake appointment with city licensing staff

by calling 303-441-4192.

Once confirmed, we will email you your unique codes for the CABS vendor.

Please make sure you retain your receipt or confirmation of fingerprinting as this will replace the print card proof you will need to submit with your city application at your appointment.

The City of Boulder has chosen the following CABS vendor:

IDEMIA - IdentoGO

https://www.idemia.com

Please direct further questions about registration, services, and locations to your CABS vendor.

## **Privacy Act Statement**

## This privacy act statement is located on the back of the **FD-258 fingerprint card**.

Authority: The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose: Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

Routine Uses: During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

As of 03/30/2018

See Page 2 for Spanish translation.

## Declaración de la Ley de Privacidad

## Esta declaración de la ley de privacidad se encuentra al dorso del FD-258 tarjeta de huellas digitales.

Autoridad: La adquisición, preservación, e intercambio de huellas digitales e información relevante por el FBI es autorizada en general bajo la 28 U.S.C. 534. Dependiendo de la naturaleza de su solicitud, la autoridad incluye estatutos federales, estatutos estatales de acuerdo con la Pub. L. 92-544, Órdenes Ejecutivas Presidenciales, y reglamentos federales. El proveer sus huellas digitales e información relevante es voluntario; sin embargo, la falta de hacerlo podría afectar la terminación o aprobación de su solicitud.

Propósito Principal: Ciertas determinaciones, tal como empleo, licencias, y autorizaciones de seguridad, podrían depender de las investigaciones de antecedentes basados en huellas digitales. Se les podría proveer sus huellas digitales e información relevante/ biométrica a la agencia empleadora, investigadora, o responsable de alguna manera, y/o al FBI con el propósito de comparar sus huellas digitales con otras huellas digitales encontradas en el sistema Next Generation Identification (NGI) del FBI, o su sistema sucesor (incluyendo los depósitos de huellas digitales latentes, criminales, y civiles) u otros registros disponibles de la agencia empleadora, investigadora, o responsable de alguna manera. El FBI podría retener sus huellas digitales e información relevante/biométrica en el NGI después de terminar esta solicitud y, mientras las mantengan, sus huellas digitales podrían continuar siendo comparadas con otras huellas digitales presentadas a o mantenidas por el NGI.

Usos Rutinarios: Durante el procesamiento de esta solicitud y mientras que sus huellas digitales e información relevante/biométrica permanezcan en el NGI, se podría divulgar su información de acuerdo a su consentimiento, y se podría divulgar sin su consentimiento de acuerdo a lo permitido por la Ley de Privacidad de 1974 y todos los Usos Rutinarios aplicables según puedan ser publicados en el Registro Federal, incluyendo los Usos Rutinarios para el sistema NGI y los Usos Rutinarios Generales del FBI. Los usos rutinarios incluyen, pero no se limitan a divulgación a: agencias empleadoras gubernamentales y no gubernamentales autorizadas responsables por emplear, contratar, licenciar, autorizaciones de seguridad, y otras determinaciones de aptitud; agencias de la ley locales, estatales, tribales, o federales; agencies de justicia penal; y agencias responsables por la seguridad nacional o seguridad pública.

A partir de 30/03/2018

## **Individual History Record**

To be completed by the following persons, as applicable: sole proprietors; general partners regardless of percentage ownership, and limited partners owning 10% or more of the partnership; all principal officers of a corporation, all directors of a corporation, and any stockholder of a corporation owning 10% or more of the outstanding stock; managing members or officers of a limited liability company, and members owning 10% or more of the company; and any intended registered manager of Hotel and Restaurant, Tavern and Lodging and Entertainment class of retail license

**Notice:** This individual history record requires information that is necessary for the licensing investigation or inquiry. All questions must be answered in their entirety or the license application may be delayed or denied. If a question is not applicable, please indicate so by "N/A". **Any deliberate misrepresentation or material omission may jeopardize the license application**. (Please attach a separate sheet if necessary to enable you to answer questions completely)

1. Name of Business			Home Phone Number		ellular Nu	mber	
2. Your Full Name (last, first, middle)			3. List any other names y	ou have used			
4. Mailing address (if different from resid	lence)		Email Address				
5. List current residence address. In	nclude any previous ad	dresses	within the last <b>five</b> year	rs. (Attach se	eparate	sheet if nece	ssary)
Street and Num	ber		City, State, Z	ір		From	То
Current							
Previous							
6. List all employment within the las	st <b>five</b> years. Include a	ny self-er	nployment. (Attach sep	arate sheet	if neces	sary)	
Name of Employer or Busines	s Address (Stre	et, Num	ber, City, State, Zip)	Position	Held	From	То
7. List the name(s) of relatives work	king in or holding a fina	ancial inte	rest in the Colorado ald	cohol bevera	ge indus	stry.	
Name of Relative	Relationship to	You	Position Hel	d	Ν	ame of Lice	nsee
8. Have you ever applied for, held, furniture, fixtures, equipment or i				e, or loaned	money,	□ Ye	s 🗌 No
<ol> <li>Have you ever received a violation applied for or been denied a liqu</li> </ol>						□ Ye	s 🗌 No

DR 8404-I (0	03/20/19
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DR 8404-I ((	03/20/19)										
		been convicted nse in criminal								☐ Yes	s 🗌 No
		ly under probati ce? (If yes, exp			unsupervised	), parole,	or completing	the require	ements of a	🗌 Yes	s 🗌 No
12. Have	e you ever	had any profess	sional license	susp	ended, revok	ed, or de	nied? (If yes,	explain in d	etail.)	🗌 Yes	s 🗌 No
	•	rovided by law,	the personal	inforr	nation require	ed in ques	Information #13 will b		is confidentia	I. The pers	sonal
13a. Date		d in question #1 b. Social Security		riden	c. Place of Bir						
a lf Natur					£ \\//h a.c		a Norra of Di	atriat Caunt	d. U.S. Citiz	zen 🗌 Ye	es 🗌 No
e. If Natur	alized, state	where			f. When		g. Name of Di	Strict Court			
		ficate Number					s Registration Ca				
I. Height	m. Weight	n. Hair Color	o. Eye Color	p.	Gender		have a current		-		
14 Eina	l ncial Inforn						□ No #				
a. T	otal purcha	ase price or inve	estment being	g mad	e by the apply	ying entity	y, corporation,	partnershi	p, limited liab	ility compa	any, other.
\$						the nere	an listed on a	in ation #2	in this husing		
		l amount of the s, cash, services								ss includir	ng any
*	If corpora	te investment	only please	skip 1	o and comp	-		-			
		should reflect									
		f the personal in te sheet if neede		scribe	d in 14b. You	must acc	count for all of	the source	s of this inve	stment.	
Type: 0	Cash, Serv	vices or Equipr	nent	Α	ccount Type			Bank Nam	е	Am	nount
	le details of ate sheet if	f the corporate i needed)	nvestment de	escrib	ed in 14 (a). \	/ou must	account for a	I of the sou	rces of this in	vestment.	. (Attach a
		vices or Equipr	nent Lo	ans	Account Type Bank Nan			Bank Nam	e	nount	
e. Loan I		(Attach copies	of all notes	or loar	ns)		1				
	Name o	of Lender			Address		Term		Security	Am	nount
			l		Oath of A	Applica	ant				
I declare	under pen d Signature	alty of perjury th	nat this applic	ation				t <u>, and com</u> r Title	plete to the be		<u>knowledge.</u> Date
nathonze(	a oignature				Signature			i nuc		ľ	Date
# CITY OF BOULDER

#### **SECTION 1: IDENTIFICATION DOCUMENTS**

I, \_\_\_\_\_, currently lawfully possess and am able to produce upon request the following identification document as evidence of my lawful presence in the United States (check one):

Valid Colorado driver's license or a Colorado identification card issued by the Department of Revenue

\_\_\_\_ United States military card or a military dependent's identification card

United States Coast Guard Merchant Mariner card

Native American tribal document

Other Document Allowed By Emergency Rules (Only allowed until March 1, 2007)

List the identification number from the document you are relying upon to show your lawful presence in the United States (for example, your driver's license number):

#### SECTION 2: CITIZENSHIP AFFIDAVIT

See State of Colorado Affidavit Form

# **Affidavit - Restrictions On Public Benefits**

I, under the laws of the State of Colorado that <b>(check one)</b> :	_ , swear or affirm under penalty of perjury
<ul> <li>I am a United States citizen.</li> <li>I am not a United States citizen but I am a Permanent</li> <li>I am not a United States citizen but I am lawfully prestored to Federal law.</li> <li>I am a foreign national not physically present in the U</li> </ul>	ent in the United States pursuant
I understand that this sworn statement is required by law because I have app state law requires me to provide proof that I am lawfully present in the United I further acknowledge that making a false, fictitious, or fraudulent statement punishable under the criminal laws of Colorado as perjury in the second degree and it shall constitute a separate criminal offense each time a public benefit is Signature	States prior to receipt of this public benefit. or representation in this sworn affidavit is under Colorado Revised Statute 18-8-503

#### Section 3-3 Plans & Specifications

The applicant shall file at the time of application plans and specifications for the interior of the building if the building to be occupied is in existence at the time. If the building is not in existence, the applicant shall file a plot plan and a detailed sketch for the interior and submit an architect's drawing of the building to be constructed.

#### Subsection 3-3-1 Plans & Specifications

All plans, specifications and detailed sketches or drawings shall be to scale on 8-1/2" x 11" paper and shall show the floor plan and layout of the interior of the building where the license is sought to be exercised.

If a liquor or fermented malt beverage on-premise license is applied for, the plans and specifications shall show, as a minimum, the following:

- (A) A separate page for each level or floor to be licensed;
- (B) Walls, partitions, entrances and exits;
- (C) Dimensions of the premises;
- (D) Clearly identify the nearest streets;
- (E) Indicate the North direction;
- (F) Clearly identify the bar(s);
- (G) Clearly identify where alcohol shall be stored;
- (H) Clearly identify any patio(s), if applicable;
- (I) Identify the type of barrier surrounding the patio, if applicable;
- (J) All tables and chairs;
- (K) List the total seating capacity and,
- (L) Where books and records will be stored.

If a hotel-restaurant license is applied for, plans and specifications shall, in addition to the above, show the following:

- (M) The total floor area where meals shall be served;
- (N) Location of all bar counters;
- (O) Size and dimension of the kitchen and other food preparation areas;
- (P) Location, number and kinds of ranges, stoves or ovens, refrigerators, food lockers, dishwashers, sinks and restrooms; and
- (Q) Location and dimension of food storage areas, and any other fixtures and equipment to be installed and used in connection with the preparation and serving of meals.

#### What Constitutes a licensed premises

Within the Colorado Liquer Code, "licensed premises" means the premises specified in an application for a license which are owned or in possession of the licensee within which such licensee is authorized to sell, dispense, or serve alcoholic beverages. The licensee must submit a diagram of the licensed premises at the time of application. The diagram should be on an 8-1/2"x 11" piece of paper, it may be hand drawn using a ruler; it does not have to be to scale but should state the outside dimensions of the structure. Additionally, the various rooms should be labeled with details of storage and serving areas, such as coolers, the bar, entrances, exits and passages.

The licensed premises must be clearly indicated. If located in a shopping center or "strip center" provide only a diagram of the area to be licensed, not the entire shopping center. If an establishment has several floors, a diagram should be submitted for each floor if they are substantially different. However, if the floors are essentially the same, such as might be the case in a hotel, then one diagram of the main floor accompanied with an additional diagram of a typical guest floor, stating that there are "x" number of similar floors will be satisfactory.

For someone who has never made a diagram of a licensed premises, it may be helpful to think of the lines of delineation as solid walls. With this in mind, it should be noted that alcohol must be stored within the licensed premises and that a "Hotel and Restaurant Liquor License" must also have a fully operational kitchen within the licensed premises. Keep in mind, that if an area is not part of the licensed premises, no alcohol can ever be in that area. Therefore, a licensed premises' rooms and/or passages must connect.

The licensee must at all times have legal possession of the licensed premises through ownership, lease or other written arrangement which must only be in the name of the licensee. Additionally, a licensed premises must also qualify under other laws and regulations such as zoning, health and fire codes.

Once an establishment is granted a license, the licensee may not materially or substantially alter the licensed premises without prior written approval from both the local and state licensing authorities. To "alter" means: any increase or decrease in the capacity of the establishment; moving, adding or increasing the size of a bar; the sealing off, creation of, or relocation of doors or passages. In short, a licensee may not change or modify the premises in such a way that would affect the basic character or physical structure as it was previously approved, unless new approval is obtained.



CITY OF BOULDER STATEMENT OF TRAINING

The Applicant hereby states that they understand the importance of being familiar with and complying with the Colorado Liquor Code, Colorado Beer Code and State of Colorado Liquor Regulations.

Therefore, with respect to the Applicants' owners, managers, and all other employees who will have any connection or involvement with liquor or fermented malt beverages on the subject licensed premises of this application, the Applicant states the following:

All employees involved in the service of alcohol, including without limitation, managers, clerks, bartenders, and wait staff, shall attend a state-certified Responsible Vendor alcohol service class within two (2) months from the approval of this license. New employees shall take a class within two (2) months of their hiring date. Any employees participating in a Tastings at a retail liquor store liquor licensed location must have already completed a state-certified Responsible Vendor alcohol service class at the time of the Tasting. Training Certification must be renewed and must remain current for employees involved in the service or sale of alcohol in Boulder liquor licensed locations.

The Applicant agrees that the above mentioned training shall be a continuing condition of holding the license if the Beverage Licensing Authority approves this application.

#### OATH OF APPLICANT

I declare under penalty of perjury in the second degree that I acknowledge that it is my responsibility and the responsibility of my agents and employees to know and to comply with the provisions of the Colorado Liquor and Beer Codes, State Liquor Regulations, and all City of Boulder Rules, Regulations, and Codes which affect my liquor license.

Authorized Signature

Print Name

Title



#### CITY OF BOULDER STATEMENT OF FOOD SERVICE

Pursuant to the State of Colorado Liquor Code, the Applicant hereby certifies that they have read and fully understand the following excerpts of law:

#### Hotel-Restaurant Liquor License

"Restaurants shall sell alcohol beverages as provided in this section only to customers of the restaurant and only if meals are actually and regularly served and provide not less than twenty-five percent of the gross income from sales of food and drink of the business of the license premises over any period of time of at least one year."

#### Brew Pub Liquor License

"A brew pub licensee shall sell malt, vinous, and spirituous liquors for on-premises consumption only if at least fifteen percent of the gross on-premises food and drink income of the business of the licensed premises is from the sale of food."

#### Distillery Pub Liquor License

"A distillery pub license may be issued to any person operating a distillery pub and also selling food and alcohol beverages for consumption on the premises. At least fifteen percent of the gross on-premises food and alcohol beverage income of the licensed distillery pub must be from the sale of food."

Pursuant to State Regulations, the Applicant certifies that they shall at all times, when meals are required to be served, maintain on the premises adequate personnel, foodstuffs and other necessary facilities, equipment and supplies for the preparation and serving of meals as defined by 12-47-103(20) C.R.S., as amended.

#### OATH OF APPLICANT

I declare under penalty of perjury in the second degree that I acknowledge that it is my responsibility and the responsibility of my agents and employees to know and to comply with the provisions of the Colorado Liquor and Beer Codes, State Liquor Regulations, and all City of Boulder Rules, Regulations, and Codes which affect my liquor license.

Authorized Signature

Print Name

Title



#### **CITY OF BOULDER** OCCUPATION TAX REGISTRATION

This application must be filed in duplicate with the City of Boulder Licensing Office. All Information must be printed legibly in ink or typewritten and include the signature page.

-

The Applicant applies for or acknowledges to the City of Boulder Beverage Licensing Authority (BLA) the following liquor license and pays the following fees:

Type of License Application:

	New License	Change of Class
	Change of Location	Transfer of Ownership
Туре о	of License/Occupation Tax each Year:	
	Fermented Malt Beverage On-Premise: \$400.00	Beer and Wine: \$1,085.00
	Fermented Malt Beverage Off Premise: \$275.00	Retail Liquor Store: \$988.50
	Hotel-Restaurant: \$3,253.00	Liquor Licensed Drug Store: \$988.50
	Hotel-Restaurant w. Optional Premise: \$3,253.00	Club: \$1,284.00
	Tavern: \$3,253.00	Arts: \$1,284.00
	Brew Pub: \$3,253.00	Distillery Pub: \$3,253.00
	Lodging and Entertainment: \$3,253.00	Vintner's Restaurant: \$3,253.00
	Resort Complex of H &R: \$3,253.00	

Liquor Occupation Tax is an on-going yearly tax associated with holding a current liquor license in the City of Boulder and the above amounts are annual totals. Occupation tax is invoiced bi-annually, each January 1 and July 1, for the next 6 month operating period.

All outstanding amounts must be paid prior to temporary license issuance for a transfer application.

All new licenses will receive a pro-rated invoice and the tax will be collected prior to license issuance.

For Transfer Applications ONLY (the seller must complete this section):

Licensee's Business Nam	e:
Trade Name:	
Phone Number:	Contact Person:
Premise Address:	
	(please provide the seller's mailing address for future correspondence)

#### OATH OF APPLICANT

I declare under penalty of perjury in the second degree that this application, my answers, and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to know and to comply with the provisions of the State of Colorado Liquor and Beer Codes, State Liquor Regulations, and all City of Boulder Rules, Regulations, and Codes which affect my liquor license.

Authorized Signature

Print Name

Title

### Chapter 7 Occupation Tax<sup>1</sup>

#### 3-7-1. Legislative Intent.

The purpose of this chapter is to impose an occupation tax upon persons engaged in the business of manufacture or sale of malt, vinous or spirituous liquor or fermented malt beverages in the city.<sup>2</sup>

#### 3-7-2. Imposition and Rate of Tax.

- (a) No person licensed to sell malt, vinous or spirituous liquor or fermented malt beverage in the city shall fail to pay to the city manager an occupation tax upon the business of selling malt, vinous or spirituous liquors or fermented malt beverages according to the following schedule:
  - (1) The holder of a malt, vinous or spirituous liquor license shall pay an annual occupation tax as follows:
    - (A) Retailer liquor store license \$988.50
    - (B) Liquor-licensed drugstore 988.50
    - (C) Beer and wine license 1,085.00
    - (D) Hotel and restaurant license 3,253.00
    - (E) Brew pub license 3,253.00
    - (F) Tavern license 3,253.00
    - (G) Club license 1,284.00
    - (H) Arts license 1,284.00
    - (I) Race track license 2,957.50
    - (J) Vintner's restaurant 3,253.00
    - (K) Distillery pubs 3,253.00
    - (L) Lodging and entertainment facility 3,253.00
    - (M) Resort complex 3,253.00
  - (2) A fermented malt beverages licensee shall pay an annual occupation tax as follows:
    - (A) Consumption on the premises \$400.00
    - (B) Consumption off the premises 275.00
- (b) The occupation tax is due and payable to the city manager for each year for which a license has been obtained on the first day of January of each year, or as soon thereafter as the license is issued. If the city manager issues a beverage license for less than a full year, the manager shall prorate the occupation tax on the number of whole months remaining in the year. If a license under this chapter is issued for any applicant

<sup>&</sup>lt;sup>1</sup>Adopted by Ordinance No. 4651. Derived from Ordinance Nos. 3887, 4130.

<sup>&</sup>lt;sup>2</sup>See Tom's Tavern v. City of Boulder, 526 P.2d 1328 (1974).

who previously paid an occupation tax for the current year for the exercise of a fermented malt beverage or liquor license, the manager shall prorate the tax and credit it to the new occupation tax applicable to the new license after the licensee surrenders the old license.

- (c) No delinquency in the payment of the occupation tax imposed by this section is a ground for suspension or revocation of a fermented malt beverage or liquor license issued by the city or state.
- (d) No person shall operate any malt, vinous or spirituous liquor or fermented malt beverage establishment in the city unless such person has paid the appropriate occupation tax. Each day of operation in violation of this subsection constitutes a separate offense.
- (e) Any person obligated to pay the tax imposed by this chapter may elect to pay the occupation tax in installments, one-half of the tax on or before January 1 of the year for which the tax is due and the remaining one-half on or before July 1 of the same year.

On any new license issued after January 1 but prior to July 1, such person may also make such an election.

- (f) If a person obligated to pay the tax imposed by this chapter goes out of business or otherwise intends not to make use of its city or state license and so certifies to the city manager under oath, the manager shall refund a prorated amount of the occupation tax previously paid attributable to the time that the license will be unused, at the rate of one-twelfth of the fee for each whole month remaining in the year. No person shall make a false statement on such certificate.
- (g) Payment of \$295.50 of the occupation tax for a license that did not possess an extended hours license as of June 30, 1997, shall be waived if the licensee submits an annual notarized statement to the city manager that malt, vinous or spirituous liquor has not and will not be sold during the hours from 8:00 p.m. to 2:00 a.m. on Sundays and on Christmas Day.

Ordinance Nos. 5425 (1991); 5835 (1996); 5899 (1997); 8223 (2018) ; 8284 (2018)

#### 3-7-3. Reserved.

Editor's note(s)—Ord. No. 8237 Editor's note(s)—, § 2Editor's note(s)—, adopted February 6, 2018, effective March 8, 2018, repealed § 3-7-3Editor's note(s)—, which pertained to enforcement of tax liability. See Code Comparative Table for complete derivation.

## **Boulder Neighborhood Needs & Desire Petitioning**

After you have completed your application and submitted it for consideration, the Licensing Office will set hearing dates for your neighborhood boundaries and for your application public hearing.

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The neighborhood boundaries set are typically not less than 1/2 mile radius from the site proposed for a liquor license. The public hearing date will be not less than thirty (30) days from the date of receipt of your complete application by the Licensing Office.

The Beverages Licensing Authority (the "Authority") will set your neighborhood boundaries, usually at the hearing the month before your public hearing. You are welcome to attend your boundary setting hearing or to submit suggested boundaries, but you are not required to do so.

Before approving a liquor license application, the Authority must consider the reasonable requirements of the neighborhood and the desires of the adult inhabitants as evidenced by petitions, remonstrance, supporting witnesses or other evidence submitted by the applicant and by residents and business owners/managers of the designated neighborhood. In person witness testimony may be given at the hearing. Letters in favor or opposed to the application should be submitted prior to the hearing.

Although the law does not require that an applicant petition the neighborhood, it is the most common form of evidence presented. Signatures obtained from door to door petitioning must be from residents and business owners/managers of the Authority defined neighborhood. Please note that only door to door petitions will be considered by the Beverage Licensing Authority. For the resident petitions, all persons signing must be at least 21 years of age and reside in the neighborhood. For the business petitions, all persons signing must be at least 21 years of age and own and/or manage a business in the defined neighborhood. You must provide the Authority with sufficient evidence to support its findings that: 1) the reasonable requirements of the neighborhood establish a need for the issuance of the requested license, and 2) that the desires of the adult inhabitants dictate the issuance of the license. The Authority will also be looking to assure that a good sampling of the designated neighborhood was taken.

If you choose to use the petitioning method for proving neighborhood needs and desires, the "<u>Resident Petition</u> <u>Form</u>" and the "<u>Business Petition Form</u>" created by the Licensing Office must be used in this process. Each door to door petitioner must carry and show at the door a copy of the "<u>Designated Neighborhood Map</u>" and the "<u>Existing</u> <u>Licenses List</u>" provided by the Licensing Office. The prepared "<u>Petition Results Summary</u>" should also be included with your petition signature pages to summarize the results.

Each petitioner who has collected petition results door to door must include a signed "Affidavit of Circulator" that is notarized indicating that he/she personally witnessed each signature appearing on the petition and that, to the best of his/her knowledge, each signature is the signature of the person whose name it purports to be and that the address given opposite the person's name is the true residential or business address of the person signing the petition. Failure to affix a completed affidavit of circulator, including notarization, may cause petition invalidity concerns to be raised by the Authority.

There are professional petitioning firms that you may contract with; however, the decision to use any such firm is entirely yours. If you choose a professional petitioning firm, they must also use the prepared petition forms and results summary. This information is meant only as a guideline provided as a courtesy by the City of Boulder. Applicants are encouraged to consult a private attorney for answers to legal questions or concerns.



#### CITY OF BOULDER TEMPORARY LIQUOR LICENSE APPLICATION FOR TRANSFER OF OWNERSHIP APPLICATIONS

This application must be filed, along with the complete Transfer Application with all attachments, in duplicate with City of Boulder Licensing Office. All Information must be printed legibly in ink or typewritten.

Transfer Applicant's Business	ame:	
Trade Name:		
Business Address:		
Mailing Address:		
	Phone Number:	
Current Seller Licensee:	Contact Person:	
Trade Name:	Phone Number:	
Premise Address:		
Liquor License Number:	Expiration Date:	·
License Type:		
Mailing Address:	(please provide the seller's mailing address for future correspondence)	
	Thisase provide the seller's maining address for inture correspondence)	

#### REQUIREMENTS FOR TEMPORARY LICENSE ISSUANCE

- Complete Transfer of License Application
- Temporary Applied for Within Thirty (30) Days of Original Application
- State of Colorado Sales Tax License or Application
- City of Boulder Business License Application for Sales Tax License and Receipt
- Contact Made with Boulder County for Health Inspection, if needed
- Boulder Fire Department Inspection and email sent to City Licensing (call 303-441-3353 to arrange)
- City Licensing On-Line Background Check Completed
- No Modification Planned to Premise or Plan to Delay Modification until Authority Approval
- City Sales Tax Account must be current and properly paid (Seller should call 303-441-4026 to confirm)
- City Liquor Occupation Tax must be current and properly paid (Seller should call 303-441-3068 to confirm)
- \$100 License Fee Received- Made payable to "City of Boulder"

#### CONSENT OF LICENSEE (Seller)

I consent to the transfer of the above referenced liquor license and understand that a temporary liquor license will be issued to the buyer in the context of their transfer of ownership liquor license application.

Print Name

#### OATH OF APPLICANT (Buyer)

I declare under penalty of perjury in the second degree that this application, my answers, and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to know and to comply with the provisions of the State of Colorado Liquor and Beer Codes, State Liquor Regulations, and all City of Boulder Rules, Regulations, and Codes which affect my liquor license.

Authorized Signature

Print Name

Title